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## Renal cell carcinoma histologic subtypes exhibit distinct transcriptional profiles

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### **Graphical abstract**





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- Renal Cell Carcinoma Histologic Subtypes Exhibit Distinct Transcriptional Profiles
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### 54 **Conflicts of Interest**

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- 6162 Patient Summary
- 63 Renal cell carcinoma histologic subtypes have distinct expression of gene sets representing 64 key molecular pathways with potential to personalize treatments for patients
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- 65

### 66 67 **Abs**t

- 67 Abstract
- 68 Molecular profiling of clear cell RCC (ccRCC) tumors of clinical trial patients has identified
- 69 distinct transcriptomic signatures with predictive value, yet data in non-clear cell variants
- 70 (nccRCC) are lacking. We examined the transcriptional profiles of RCC tumors representing
- 71 key molecular pathways, from a multi-institutional, real-world patient cohort, including ccRCC
- 72 (N=508) and centrally-reviewed nccRCC (N=149) samples. ccRCC had increased angiogenesis
- 73 signature scores compared to the heterogeneous group of nccRCC tumors (mean z-score 0.37
- 74 vs -0.99, P<0.001), while cell cycle, fatty acid oxidation (FAO)/AMPK signaling, fatty acid
- synthesis (FAS)/pentose phosphate signature scores were increased in one or more nccRCC
   subtypes. Among both ccRCC and nccRCC tumors, T-effector scores statistically correlated
- 77 with increased immune cell infiltration and were more commonly associated with 78 immunotherapy-related markers (PD-L1+/TMB-High/MSI-High). In conclusion, this study
- provides evidence of differential gene transcriptional profiles among ccRCC vs nccRCC tumors,
   providing new insights for optimizing personalized and histology-specific therapeutic
- 81 strategies for patients with advanced RCC.
- 82
- Keywords: renal cell carcinoma, non-clear cell, gene expression signatures, molecular
   subgroups, T-effector, sarcomatoid, angiogenic
- 85
- 86

### 87 Introduction

Renal cell carcinoma (RCC) is a common cancer among men and women in the United
States, with an estimated 81,800 new cases and 14,890 deaths expected in 2023.(1) Clear cell

90 RCC (ccRCC) is the most common subtype, representing 70-80% of all RCCs. (2, 3) Other 91 variant histologies, which have been historically lumped together as non-clear cell RCC, have 92 distinct clinical features and pathogenesis including papillary, chromophobe, medullary, 93 collecting-duct, MiT family translocation RCC, succinate dehydrogenase-deficient RCCs, 94 hereditary leiomyomatosis and syndrome-associated RCC and unclassified RCC.(3) Across all RCC histologies, 15-20% harbor sarcomatoid dedifferentiation,<sup>(4)</sup> which portends poor 95 96 prognosis, increased likelihood of presenting with advanced stage, and worse survival across 97 all stages.(5)

98 Over the past decade, the medical management of advanced RCC has significantly 99 changed with the emergence of the immune checkpoint inhibitors and next generation 100 tyrosine kinase inhibitors (TKIs). Currently, front line treatment options include combined 101 immune-oncology (IO)-IO or IO-TKI based treatment.(6-9) Vascular endothelial growth factor 102 (VEGF) TKIs continue to be relevant and efficacious either as monotherapy or in combination 103 with immunotherapy.(10) Tumors with rhabdoid/sarcomatoid dedifferentiation are 104 associated with improvement in clinical outcomes including overall response rate (ORR) and 105 progression-free survival (PFS) with IO-based approaches.(11-14)

106 While we have made great strides in improving survival for RCC patients in the modern 107 era, outcomes to therapy are heterogeneous, with a subset of patients demonstrating long-108 term durability while others demonstrate intrinsic resistance to treatment. (6, 8, 9, 15) Most 109 importantly, to date, there are no clinically applicable predictive biomarkers to help optimize 110 therapy selection in the clinic. Common markers of response to immune checkpoint 111 inhibitors, such as programmed cell death ligand 1 (PDL1) expression and tumor mutation 112 burden (TMB) are at times associated with higher responses, yet they have not been applied 113 clinically given the presence of observed responses in the absence of these markers.<sup>16-18</sup>

114 Important work has been done to identify transcriptomic signatures in both localized 115 and metastatic ccRCC. Particularly in metastatic ccRCC, gene expression signatures have been 116 described based on markers of angiogenesis and those of immune activation. The phase 2 117 IMmotion 150 trial evaluated the clinical relevance of T effector/IFNy (T<sub>eff</sub>) and Angiogenesis<sup>high/low</sup> gene expression signatures identified by RNA sequencing.(16) Herein, the 118 119 high T<sub>eff</sub><sup>high</sup> signature was associated with longer PFS in the atezolizumab + bevacizumab group 120 versus sunitinib group. By contrast, a high angiogenic signature was associated with improved 121 PFS in the sunitinib group. Subsequently, the randomized, global phase 3 IMmotion 151 122 integrated multi-omic analyses leading to identification of robust molecular clusters derived 123 from analyses of 823 tumors from patients with advanced RCC, including 134 tumors with 124 sarcomatoid features.(17) A total of seven gene clusters were identified by non-negative 125 matrix factorization including inflammatory and angiogenic signatures. Cluster 1 and 2 were 126 characterized by angiogenic genes (enriched for vascular and VEGF pathway-related genes), 127 clusters 4, 5 and 7 showed increased expression of inflammatory pathways, and cluster 3 and 128 6 were characterized by high myeloid and low T-effector gene expression patterns. 129 Differential outcomes to therapy were observed in each of the clusters, beginning to shed 130 light on the potential clinical applicability of a biomarker selection strategy utilizing the cluster 131 classification.

132 Other phase 3 trials such as Javelin Renal 101 and CheckMate 214 also investigated the 133 predictive value of transcriptomic signatures. Using a different methodology ("Javelin Renal 134 101 Immuno signature"), a novel 26-gene expression signature derived from 720 tumors from 135 patients enrolled on the Javelin Renal 101 trial was associated with PFS to treatment with 136 axitinib + avelumab versus sunitinib.(18) In the exploratory analysis of CheckMate 214 137 including 213 samples (20% of total study cohort) the immune-based signatures, whose 138 scores were derived from three IMmotion150 signatures, the JAVELIN Renal 101 signature 139 and tumor inflammation signature (TIS), were associated with PFS in patients treated with 140 immune checkpoint inhibitors, but failed to show an association with overall survival 141 (Checkmate 214), and the association between angiogenic gene expression and anti-VEGF 142 therapies was also not statistically significant.(19)

Data on gene expression signatures and other molecular characterization in different RCC histologies beyond ccRCC are lacking. Here, we present data from an international, multiinstitutional, real-world cohort of RCC patients who have undergone comprehensive molecular evaluation. We aim to describe the gene expression signatures, mutational profiles and protein expression patterns across the different RCC histologies, including tumors with sarcomatoid/rhabdoid features and non-clear cell pathologies.

149

### 150 **Results**

### 151 **1** - Study cohort and patient characteristics

The study cohort comprised of a total of 657 patient samples, including both clear cell (ccRCC,
 N=508) and non-clear cell RCC (nccRCC, N=149) histologic subtypes (Table 1, Figure 1).

154 Sarcomatoid and/or rhabdoid features were present in 9.4% of the overall cohort, with a 155 significantly higher frequency in patients with nccRCC (14.1% vs 8.1% ccRCC, P=0.03), and 156 specifically in chromophobe (20.0% vs 8.1%, P=0.03) and mixed subtypes (23.5% vs 8.1%, 157 p<0.01). Papillary RCC tumors were associated with an increased median age at the time of 158 biopsy compared to ccRCC, while medullary RCC was associated with a younger median age. 159 MiT translocation RCC was more frequent among women (87.5% vs 30.1% ccRCC, P<0.01). 160 Distributions of age, gender, and tissue specimen source (N=337 collected from primary site, 161 and N=322 from metastatic site) were similar between ccRCC and nccRCC subtypes.

162

### 163 **2** - Transcriptional characterization and stratification of RCC patient samples into

### 164 *molecular subgroups*

Prior studies of RCC have described molecular subgroups with gene expression signatures that reflect activation of key molecular pathways, including T-effector (comprised of *CD274*, *CD8A*, *EOMES*, *IFNG* and *PRF1*) and angiogenic (comprised of *ANGPTL4*, *CD34*, *ESM1*, *KDR*, *KDR*, *PECAM1* and *VEGFA*) gene sets, and these subgroups were further associated with differential outcomes to therapy.(17)·(20) We performed gene expression profiling of ten signatures in a cohort of real-world RCC tumor samples and characterized signature scores by histologic subtype (Figure 2).

172 Angiogenesis signature scores were significantly higher in ccRCC compared to all 173 nccRCC subtypes (mean z-score 0.37 vs -0.99, P<0.001), along with highest median expression 174 of complement cascade (mean z-score 0.13 vs -0.44, P<0.001) and T-effector signature scores 175 (mean z-score 0.08 vs -0.27, P<0.001) observed in ccRCC (Figure 2A-B). Chromophobe RCC 176 had increased fatty acid oxidation (FAO)/AMPK signaling scores (mean z-score 0.38 vs -0.02 177 in ccRCC, P<0.05). Stromal scores were increased in medullary RCC (mean z-score 0.74 vs 0.11 178 in ccRCC, P<0.05), with decreased scores observed for multiple signatures in both subtypes. 179 MiT translocation RCC had increased angiogenesis with decreased complement cascade 180 (mean z-score -0.60 vs 0.13 in ccRCC, P<0.05) and stromal scores (mean z-score -0.51 vs 0.11 181 in ccRCC, P<0.05). Cell cycle (mean z-score 0.78 vs -0.03 in ccRCC, P<0.05) and fatty acid 182 synthesis (FAS)/pentose phosphate scores (mean z-score 0.97 vs -0.14 in ccRCC, P<0.001) 183 were significantly increased in collecting duct carcinoma. Papillary and mixed tumors had increased fatty acid synthesis (FAS)/pentose phosphate scores (mean z-score 0.72 and 0.48,
respectively, P<0.001 each).</li>

186 We next examined gene expression signatures for associations with patient 187 demographic features (Figure 2C). Compared to younger patients, older patients were 188 associated with decreased myeloid inflammation (mean z-score -0.15 vs 0.01, P<0.05) and 189 stromal expression scores (mean z-score -0.13. vs 0.21, P<0.001). RCC samples from female 190 patients had increased angiogenesis (mean z-score 0.24 vs 0.05, P<0.001), FAO/AMPK 191 signaling (mean z-score 0.23 vs -0.02, P<0.001), and FAS/pentose phosphate scores (mean z-192 score 0.15 vs -0.01, P<0.05), while complement cascade (mean z-score -0.09 vs 0.03, P<0.05) 193 and  $\Omega$ -oxidation scores (mean z-score -0.13 vs -0.05, P<0.05) were decreased compared to 194 male patients. Additionally, metastatic samples had higher cell cycle (mean z-score 0.19 vs -195 0.20, P<0.001), FAS/pentose phosphate (mean z-score 0.15 vs -0.07, P<0.01), stroma (mean 196 z-score 0.37 vs -0.24, P<0.001), myeloid inflammation (mean z-score 0.03 vs -0.20, P<0.001), 197 and complement cascade scores (mean z-score 0.05 vs -0.07, P<0.001) compared to 198 specimens collected from the kidney.

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# 200 201 3 – Genomic alterations are differentially associated with molecular subgroups across RCC 202 histologies

203 The most common alteration among ccRCC was VHL (78%, N=396), which was 204 associated with lower FAS/pentose phosphate signature scores (mean z-score difference -205 0.15 compared to VHL-wildtype tumors, P<0.05) (Figure 3A). Other commonly mutated genes 206 among ccRCC included *PBRM1* (47.7%, N=240) that associated with high angiogenesis scores 207 (mean z-score difference 0.20, P<0.01) and low FAS/pentose phosphate scores (mean z-score 208 difference -0.19, P<0.05), SETD2 (23.6%, N=116) that associated with cell cycle (mean z-score 209 difference 0.41, P<0.001), FAS/pentose phosphate (mean z-score difference 0.26, P<0.05), 210 and myeloid inflammation scores (mean z-score difference 0.24, P<0.01), and KDM5C (16.7%, 211 N=64) that associated with increased complement cascade (mean z-score difference 0.31, 212 P<0.001) and  $\Omega$ -oxidation signature scores (mean z-score difference 0.30, P<0.001). In 213 chromophobe RCC, mutations in TP53 (mean z-score difference 1.09, P<0.05), PTEN (mean z-214 score difference 1.28, P<0.05), and RB1 were most prevalent and each associated with 215 increased cell cycle scores (mean z-score difference 1.42, P<0.05), along with increased 216 stromal scores in tumors with TP53 (mean z-score difference 1.48, P<0.05) and PTEN 217 mutations (mean z-score difference 1.73, P<0.05) (Figure 3B). Alterations in SETD2, NF2, 218 ARID1 and MLH1 were identified in samples from collecting duct carcinoma, although none 219 were significantly associated with gene signatures (Figure 3C). In papillary RCC, mutations in 220 ARID1A (9.5%, N=6) associated with decreased angiogenesis (mean z-score difference -0.68, 221 P<0.01), cell cycle (mean z-score difference -0.89, P<0.05), FAO/AMPK signaling (mean z-score 222 difference -0.70, P<0.05), FAS/pentose phosphate (mean z-score difference -1.14, P<0.05), 223 and stromal scores (mean z-score difference -0.75, P<0.05), while SETD2 (11.5%, N=7) 224 associated with increased snoRNA (mean z-score difference 0.63, P<0.05) and decreased T-225 effector scores (mean z-score difference -0.38, P<0.05) (Figure 2D). In mixed tumors, 226 mutations in VHL were associated with increased angiogenesis scores (mean z-score 227 difference 0.68, P<0.05), while BAP1 associated with increase angiogenesis (mean z-score 228 difference 1.08, P<0.05) and decreased FAS/pentose phosphate scores (mean z-score 229 difference -1.10, P<0.05) (Figure 3E).

230

### **4** - Molecular subgroups are associated with distinct tumor microenvironments

232 The presence of tumor-infiltrating lymphocytes predicts response to checkpoint 233 inhibitor therapy, and we hypothesized that the gene expression profiles of molecular 234 subgroups would be associated with differences in tumor microenvironment composition. 235 Using the Microenvironment Cell Population-counter method(21), the relative abundance of 236 immune and stromal populations in the tumor microenvironment was estimated from cell type-specific transcripts levels. In both ccRCC and nccRCC, the T-effector signature positively 237 238 correlated with the presence of cytotoxic lymphocytes (Spearman  $\rho$  = 0.9, P<0.001), T 239 cells/CD8+ T cells ( $\rho$  = 0.9, P<0.001), NK cells ( $\rho$  = 0.7, P<0.001), monocytic lineage ( $\rho$  = 0.6, 240 P<0.001) and myeloid dendritic cell abundance ( $\rho = 0.6$ , P<0.001), as well as with a 'T cell-241 inflamed' signature that has been associated with response to immunotherapy ( $\rho = 0.9$ , 242 P<0.001), and the expression of multiple immune checkpoint genes ( $\rho = 0.05$  to 0.8, P<0.001) 243 (Figure 4A). Endothelial cell and fibroblast abundance had the strongest association with 244 angiogenesis ( $\rho = 0.9$ , P<0.001) and stromal cell scores ( $\rho = 0.9$ , P<0.001), respectively, in both 245 ccRCC and nccRCC subtypes. Median abundance of cytotoxic lymphocytes, CD8+ T cells, NK cells, myeloid dendritic cells, and endothelial cells was highest in ccRCC, while B lineage,
fibroblasts, neutrophils, and monocytic lineage abundance was highest in collecting duct,
medullary, papillary, and mixed RCC subtypes, respectively (Figure 4B)

249 Sarcomatoid/rhabdoid features were present in 9.4% of the overall cohort and, 250 compared to ccRCC (8.1%, N=41), were significantly more frequent in chromophobe (20.0%, 251 N=6, P<0.05) and mixed (23.5%, N=8, P<0.01) RCC subtypes (Figure 4C). Overall, 15.0% (N=97) 252 of RCC samples were PDL1+ (staining of  $\geq$ 2+ intensity and  $\geq$ 5% tumor cells using SP142 253 antibody), with significantly higher frequency of PDL1+ tumors in medullary (37.5%, N=3, 254 P<0.05), MiT translocation (42.9%, N=3, P<0.05), papillary (24.2%, N=14, P<0.05), and mixed 255 (26.5%, N=9, P<0.05) RCC compared to ccRCC (12.0%, N=60, P<0.05). The overall median TMB 256 was 4 mutations/megabase, and TMB-high ( $\geq$ 10 mutations/megabase) was observed in 1.9% 257 (N=12) of all RCC samples, most frequently among collecting duct carcinoma (33.3%, N=2, vs 258 ccRCC 1.8%, N=9, P<0.01), and often concurrent with dMMR/MSI-H status.

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### 5 - Sarcomatoid/rhabdoid features are associated with unique molecular and immune profiles

262 The presence of sarcomatoid/rhabdoid features in both clear cell and nccRCC 263 subtypes was associated with increased T-effector, cell cycle, myeloid inflammation, and 264 stromal signature scores, as well as decreased FAO/AMPK signaling scores (Figure 5A). 265 Interestingly, several associations between gene alteration and signature score varied by 266 histological subtype and the presence of sarcomatoid/rhabdoid features (Figure 5B). For 267 example, SETD2 mutations were associated with lower stromal scores in ccRCC with 268 sarcomatoid/rhabdoid features (mean z-score difference -0.87, P<0.05) but higher stromal 269 scores in ccRCC without sarcomatoid/rhabdoid features (mean z-score difference -0.87, 270 P<0.05). However, TP53 mutations were similarly associated with decreased complement 271 cascade scores in nccRCC, regardless of sarcomatoid/rhabdoid features (mean z-score 272 difference -0.84 in sarcomatoid/rhabdoid +, -0.99 in sarcomatoid/rhabdoid-, P<0.01), in 273 addition to increased stromal in sarcomatoid/rhabdoid+ (mean z-score difference 1.47, 274 P<0.05) and increased angiogenesis scores in sarcomatoid/rhabdoid- (mean z-score 275 difference 0.43, P<0.01).

### 277278 Discussion

279 Our analysis of a large cohort of real-world patient samples is concordant with recent 280 trial reports on gene expression signatures in ccRCC.(14, 17, 19) As data on nccRCC are sparse, 281 our findings among a subpopulation of centrally confirmed cases of nccRCC subtypes provide 282 valuable insights into the specific molecular pathways and immune microenvironment of 283 each RCC subtype and their associations with other clinical markers of interest. A better 284 understanding of the molecular underpinnings and gene expression patterns across RCC 285 subtypes will be critical for informing therapeutic strategies for patients with variant histology 286 RCC, a group that has historically been underrepresented in clinical trials and continues to 287 represent an unmet need. Our comparative analyses of ccRCC and nccRCC subtypes revealed 288 histology-specific and biomarker-associated expression of key molecular pathways to provide 289 new insights for these rare patient populations.

290 Clear cell samples were predominant in this study cohort, with a similar proportion of 291 cases (77%) to real-world prevalence rates.(22, 23) Concordant with other large ccRCC 292 cohorts such as the Cancer Genome Atlas Research Network(24), DNA sequencing data 293 revealed frequent alterations in genes controlling cellular oxygen sensing (eg, VHL), as well as 294 chromatin remodeling genes such as PBRM1, SETD2 and BAP1. Both angiogenic and myeloid 295 inflammation scores were higher in ccRCC compared to nccRCC tumors. The most abundant 296 immune cell types in ccRCC samples were CD8+ T-cells, macrophages and CD4+ T-cells, 297 consistent with previous reports.(25) However, it has been shown that clear cell tumors are 298 clustered into distinct molecular subgroups with different distribution of immune cells; in our 299 analysis, the differential association of cell population with molecular subgroups seem to 300 support such findings.(25) Single-cell transcriptomic profiling of immune cells have detected 301 a higher proportion of exhausted CD8+ T cell in advanced disease compared to earlier 302 stages(26) and higher levels of co-inhibitory receptors and effector molecules in cytotoxic T 303 cells among responders to immunotherapy.(27) At the somatic level, *PBRM1* mutations have 304 been associated with a less immunogenic tumor microenvironment, upregulated 305 angiogenesis, and suggested more limited benefit from immunotherapy.(28-30) The lack of 306 clinical annotation and integration of single-cell sequencing prevented us from confirming 307 these findings and require further validation in future real-world datasets.

308 Papillary RCC was the most represented nccRCC subtype in our analysis, as expected 309 from epidemiology studies.(31) Papillary is no longer subclassified into type 1 and type 2, yet 310 we found molecular alterations reported historically present in type 1 subtype such as MET 311 alterations and type 2 subtype including chromatin modification (eg, ARID1A, SETD2), NRF2 312 pathway (eg, FH, NFE2L2) and the Hippo pathway (eg, NF2).(32) The lower angiogenic scores 313 relative to ccRCC is concordant with the observed lower activity of anti-VEGF inhibitors in 314 these tumors.(33, 34) Further, the presence of inflammatory gene scores, immune-related 315 markers, and immune cell populations in these tumors might help explain the clinical efficacy 316 that immune checkpoint inhibitors have shown in these tumors, either as monotherapy or 317 combined with anti-VEGF TKIs.(35, 36)

318 To a lesser extent, our cohort included patients with papillary and other nccRCC subtypes and 319 we identified differential gene expression scores: chromophobe RCC had increased fatty acid 320 oxidation (FAO)/AMPK signaling scores while stromal scores were increased in medullary RCC. 321 Cell cycle and fatty acid synthesis (FAS)/pentose phosphate scores were significantly 322 increased in collecting duct carcinoma. Chromophobe RCC is known to be associated with 323 multiple losses of chromosomes 1, 2, 6, 10, 13, 17 and 21, and TP53 and PTEN are the two 324 mutated genes. Genomic structural arrangements most frequently involving 325 the TERT promoter region, as well as diffusely increased mitochondrial function and 326 mitochondrial DNA alterations, are more common in chromophobe RCC, which was identified 327 in our cohort as well(37)<sup>(38)</sup> Sarcomatoid/rhabdoid features were frequently found (20%) in 328 these tumors as previously reported(39), yet immunotherapies continue to show limited 329 activity in these tumors.(35, 40) Of note, non-sarcomatoid chromophobe tumors had similar 330 mutation frequencies of TP53 (61%), RB1 (15%), and PTEN (13%) as the overall analysis, along 331 with similar expression of the ten gene sets representing key molecular pathways, with 332 exception of the "stroma" gene set that enriched in chromophobe tumors with sarcomatoid 333 features present (Figure 5).

Collecting duct samples, which are characterized by frequent genomic alterations involving *NF2*, *SETD2*, *ARID1A*, and *SMARCB1*(31, 41), had the highest median myeloid inflammation expression score while among the lowest angiogenesis score. These findings may help to explain the clinical reports of relative success of mTOR inhibitors in the *NF2*- mutated cases, as well as disease control rates with immune checkpoint inhibitors, while anti angiogenic therapies and chemotherapy are of limited value.(41)

Owing to the rarity of MiT Translocation (tRCC), our cohort included only a limited number of molecularly confirmed cases, which had a clear female predominance and younger age at presentation, as expected.(42) Angiogenesis, complement cascade, and stroma expression scores were decreased compared to ccRCC, but the lack of recurrent co-alterations precluded further analysis of biomarker associations.

Finally, there was a strong association between sarcomatoid/rhabdoid+ tumors and high myeloid inflammation scores and low angiogenic scores. While this association has been observed in some trial reports (eg, IMmotion151) but not others (eg, CheckMate 214), variations in methodologies of analysis and availability of tissue samples across these studies limit cross trial comparisons of this correlative data.(19, 20)

350 While we highlight results from a large dataset of genomically profiled distinct RCC tumors, 351 there are several limitations to this work. Limited clinical data available in the database 352 prevented us from investigating the presence of the gene expression scores by IMDC 353 prognostic risk groups. Similarly, the predictive value of the transcriptomic scores could not 354 be assessed. Rarer forms of RCC, such as collecting duct, medullary and translocation RCC, 355 were under-represented in this cohort and require molecular profiling of additional samples 356 in future studies to verify results. While we presume that most samples were submitted for 357 molecular profiling at the time of advanced disease based on clinical guidelines for molecular 358 testing, precise staging information was not available. The impact of systemic therapies on 359 the molecular characterization of tumors is largely unknown and tumor clonal heterogeneity 360 and evolution could not be assessed. Future studies in both clear cell such as OPTIC trial 361 (NCT05361720) and in variant RCC subtypes that incorporate gene expression scores, are 362 required to validate their predictive value, and help with patient selection.

In conclusion, despite these limitations, we were able to identify distinct transcriptional profiles across multiple RCC histologies from a large cohort of real-world RCC patient samples. The findings of our work are concordant with prior trial data, suggesting potential clinical significance and therapeutic implications. Future directions include independent prospective validation of these findings in the context of different systemic therapies that are currently available or under development.

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### 371 Figures and Tables:

- 372 Figure 1 Study flow diagram
- 373 Figure 2 RCC subtypes exhibit distinct gene expression profiles. Differential expression of
- 10 gene sets representing key molecular pathways by RCC subtype (A). Radial plots of the
- 375 median gene signature expression level by RCC subtype (B) and patient demographics (C).
- **Figure 3** Genomic alterations associated with gene signatures across RCC histologies.
- 377 Oncoprint of the most commonly altered genes, with heatmap indicating the difference in
- 378 gene signature score differences between biomarker-positive (i.e. mutated) and -negative
- tumors, in clear cell (A), chromophobe (B), collecting duct (C), papillary (D), and mixed
- 380 tumors (E). Note: Genes with < 2 altered samples were excluded. \*P<0.05.</p>
- **Figure 4** Gene signatures are associated with unique tumor microenvironments. (A)
- 382 Heatmap of immunotherapy (IO)-related biomarkers, relative abundance of immune and
- 383 stromal cell population estimated from RNA expression, and expression of key immune
- checkpoint genes across all RCC samples, with adjacent heatmap indicating the correlation
   strength with gene signatures. (B) Radial plot of the median relative abundance of cell types
- 386 by RCC subtype. (C) Prevalence of IO-related biomarkers by RCC subtype.
- 387 **Figure 5** Sarcomatoid/rhabdoid features are associated with a distinct expression profiles.
- 388 (A) Radial plot of the median gene signature expression level by RCC subtype. (B) Heatmap
- 389 of gene signature score differences between biomarker-positive (i.e. mutated) and -negative
- 390 tumors. Note: Genes with < 2 altered samples were excluded.
- 391 Supplemental Figure S1 Radial plots of the median gene signature expression level by392 patient demographics.
- 393 Supplemental Figure S2 Heatmap of gene signature score differences between biomarker-
- positive (i.e. mutated) and -negative tumors. Note: Genes with < 2 altered samples were</li>
   excluded. Mann-Whitney U test: \*P<0.05.</li>
- 396 **Supplemental Figure S3** Radial plots of the median gene signature expression level for
- 397 each RCC subtype, including clear cell (A), chromophobe (B), collecting duct (C), medullary
- 398 (D), MiT family translocation (E), mixed (F), and papillary (G). Black dotted line represents
- 399 the overall study cohort median expression level.
- 400 **Table 1 –** Study cohort characteristics by RCC histological subtype
- 401 **Table 2** Study cohort characteristics by the presence of sarcomatoid/rhabdoid features
   402
- 403

### 404 <u>Methods</u>

- 405 Sex as a biological variant
- 406 Samples from both males and females were involved in this research as the findings do407 apply to both groups.
- 408
- 409 Study cohort
- 410 Clinical physicians submitted formalin-fixed paraffin-embedded (FFPE) samples from
- 411 patients with kidney cancer (N=657) to a commercial CLIA-certified laboratory for molecular

- 412 profiling (Caris Life Sciences, Phoenix, AZ) (Figure 1). All tumor samples categorized as
- 413 variant histologies underwent central pathology review at Caris. Tumors classified as mixed
- 414 subtypes included samples with histologic features of more than one subtype, most
- 415 commonly papillary with clear cell changes, or unspecific features. The MiT family
- 416 translocation subtype was confirmed by tumor genomic sequencing.

### 417 **Clinical characteristics**

- 418 Limited baseline clinical factors such as age and sex as a biological variable (male, female)
- 419 were available and included in this study.
- 420

### 421 DNA Next-Generation Sequencing (NGS)

422 NGS was performed on isolated genomic DNA using the NextSeq platform (Illumina, Inc., San 423 Diego, CA) for 592 cancer-relevant genes (N=375 samples) or the Illumina NovaSeq 6000 424 platform (Illumina, Inc., San Diego, CA) for whole exome sequencing (WES) (N=282 samples). 425 Prior to molecular testing, tumor enrichment was achieved by harvesting targeted tissue 426 using manual microdissection techniques. A custom-designed SureSelect XT assay was used 427 to enrich exonic regions of 592 whole-gene targets (Agilent Technologies, Santa Clara, CA). 428 All variants were detected with > 99% confidence based on allele frequency and amplicon 429 coverage, with an average sequencing depth of coverage of > 500 and an analytic sensitivity 430 threshold of 5% established for variant calling. For WES, a hybrid pull-down panel of baits 431 designed to enrich for more than 700 clinically relevant genes at high coverage and high read-432 depth was used, along with another panel designed to enrich for an additional >20,000 genes 433 at lower depth, and a 500Mb SNP backbone panel (Agilent Technologies) was added to assist 434 with gene amplification/deletion measurements and other analyses. Genomic variants were 435 classified by board-certified molecular geneticists according to criteria established by the 436 American College of Medical Genetics and Genomics (ACMG). When assessing mutation 437 frequencies of individual genes, 'pathogenic,' and 'likely pathogenic' were counted as 438 mutations while 'benign', 'likely benign' variants and 'variants of unknown significance' were 439 excluded.

### 440 RNA Whole Transcriptome Sequencing (WTS) and fusion detection

441 WTS uses a hybrid-capture method to pull down the full transcriptome from FFPE tumor 442 samples using the Agilent SureSelect Human All Exon V7 bait panel (Agilent Technologies, 443 Santa Clara, CA) and the Illumina NovaSeq platform (Illumina, Inc., San Diego, CA). FFPE 444 specimens underwent pathology review to discern the percent tumor content and tumor size; 445 a minimum of 10% tumor content in the area for microdissection was required to enable 446 enrichment and extraction of tumor-specific RNA. Qiagen RNA FFPE tissue extraction kit was 447 used for extraction, and the RNA quality and quantity were determined using the Agilent 448 TapeStation. Biotinylated RNA baits were hybridized to the synthesized and purified cDNA 449 targets, and the bait-target complexes were amplified in a post-capture PCR reaction. The 450 resultant libraries were quantified and normalized, and the pooled libraries were denatured, 451 diluted, and sequenced. Raw data was demultiplexed using the Illumina DRAGEN FFPE 452 accelerator. FASTQ files were aligned with STAR aligner (Alex Dobin, release 2.7.4a github). A 453 full 22,948-gene dataset of expression data was produced by the Salmon, which provides fast 454 and bias-aware quantification of transcript expression(43) BAM files from STAR aligner were 455 further processed for RNA variants using a proprietary custom detection pipeline. The 456 reference genome used was GRCh37/hg19, and analytical validation of this test 457 demonstrated  $\geq$  97% Positive Percent Agreement (PPA),  $\geq$  99% Negative Percent Agreement 458 (NPA), and  $\geq$  99% Overall Percent Agreement (OPA) with a validated comparator method. 459 Identified fusion transcripts were further evaluated to determine breakpoint positions and 460 functional domains retained from fused genes.

### 461 **RNA expression analyses**

462 Previously described gene sets that represent key molecular pathways among 463 transcriptionally distinct RCC subpopulations were evaluated.(17) Gene expression values 464 were log-transformed and standardized to z-scores, with a composite signature score 465 calculated as the mean z-score of the gene set for each sample.

To assess the relative abundance of immune and stromal cell populations in the tumor
microenvironment, gene expression values were analyzed using the Microenvironment Cell
Populations (MCP)-counter tool.(21)

### 469 Immunohistochemistry (IHC)

IHC was performed on full formalin-fixed paraffin-embedded (FFPE) sections of glass slides.
Slides were stained using the Agilent DAKO Link 48 (Santa Clara, CA) automated platform and
staining techniques, per the manufacturer's instructions, and were optimized and validated
per CLIA/CAP and ISO requirements. Staining was scored for intensity (0 = no staining; 1+ =
weak staining; 2+ = moderate staining; 3+ = strong staining) and staining percentage (0-100%).

475 PDL1 (SP142) staining results were categorized as positive ( $\geq$ 2+ and  $\geq$ 5% tumor cells) or 476 negative (0 or 0%).

### 477 Tumor Mutational Burden (TMB)

478 TMB was measured by counting all non-synonymous missense, nonsense, in-frame 479 insertion/deletion, and frameshift mutations found per tumor that had not been previously 480 described as germline alterations in dbSNP151, Genome Aggregation Database (gnomAD) 481 databases, or benign variants identified by Caris's geneticists. A cutoff point of ≥ 10 mutations 482 per megabase (mt/MB) was used based on the KEYNOTE-158 pembrolizumab trial.(44)

### 483 **Statistical analysis**

484 All statistical analyses were performed with JMP V13.2.1 (SAS Institute) or R Version 3.6.1

485 (https://www.R-project.org). Continuous data were assessed using Mann-Whitney U test,

486 and categorical data was evaluated using Chi-square or Fisher's exact test, where appropriate.

487 **Study approval** 

488 The present study was conducted in accordance with the guidelines of the Declaration of

489 Helsinki, Belmont Report, and US Common Rule. With compliance to policy 45 CFR 46.101(b),

490 this study was conducted using retrospective, de-identified clinical data, and patient consent

491 was not required.

### 492 Data availability statement

The datasets generated during and/or analyzed during the current study (including the figures in the manuscript and supplement) are available from the corresponding author on reasonable request. The deidentified sequencing data are owned by Caris Life Sciences, and qualified researchers can apply for access to these summarized data by contacting Andrew Elliott, PhD and signing a data usage agreement.

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Table 1. Study cohort characteristics by RCC histological subtype. <sup>1</sup>Mixed tumors included

654 samples with histologic features of more than one subtype, most commonly papillary with

clear cell changes, or unspecific features. \*P<0.05, \*\*P<0.01 when compared to clear cell</li>
subtype.

Histologic	Tumors N	Male N (%)	Median Age at	Primary N (%)	Sarcomatoid/
subtype	(%)	Female N (%)	<b>Tissue Collection</b>	Metastatic N (%)	Rhabdoid
			(Range)		features (%)
Clear cell	508 (77.3%)	355 (69.9%)	62	250 (49.2%)	41 (8.1%)
		153 (30.1%)	(19-90+)	258 (50.8%)	
Papillary	63 (9.6%)	50 (79.4%)	66*	39 (61.9%)	5 (7.9%)
		13 (20.6%)	(21-87)	24 (38.1%)	
Mixed <sup>1</sup>	34 (5.2%)	26 (76.5%)	63	15 (44.1%)	8 (23.5%)**
		8 (23.5%)	(48-81)	19 (55.9%)	
Chromophobe	30 (4.6%)	21 (70.0%)	63	17 (56.7%)	6 (20.0%)*
		9 (30.0%)	(24-77)	13 (43.3%)	
MiT	8 (1.2%)	1 (12.5%)**	54	6 (75.0%)	1 (12.5%)
Translocation		7 (87.5%)	(30-72)	2 (25.0%)	
Medullary	8 (1.2%)	7 (87.5%)	23.5**	5 (50.0%)	0 (0.0%)
		1 (12.5%)	(14-41)	5 (50.0%)	
Collecting	6 (0.9%)	4 (66.7%)	63.5	5 (83.3%)	1 (16.7%)
duct		2 (33.3%)	(61-75)	1 (16.7%)	

Table 2 – Study cohort characteristics by the presence of sarcomatoid/rhabdoid features

Histologic	Sarc/	Tumors N	Male N (%)	Median Age	Primary N (%)
subtype	Rhab	(%)	Female N (%)	(Range)	Metastatic N (%)
Clear cell	+	41 (8.1%)	24 (58.5%)	57	34 (82.9%)
			17 (41.5%)	(19-82)	7 (17.1%)
	-	467 (91.9%)	311 (70.9%)	62	216 (46.3%)
			136 (29.1%)	(28-90+)	251 (53.7%)
Non-clear cell	+	21 (14.1%)	16 (71.4%)	63	13 (61.9%)
			6 (28.6%)	(49-83)	8 (38.1%)
	-	128 (85.9%)	94 (73.4%)	63	73 (57.0%)
			34 (26.6%)	(14-87)	55 (43.0%)

### **Figure 1** - Consort diagram of study inclusion process.



Figure 2 - RCC subtypes exhibit distinct gene expression profiles. Differential expression of
 10 gene sets representing key molecular pathways by RCC subtype (A). Radial plots of the
 median gene signature expression level by RCC subtype (B). Mann-Whitney U test: \*P<0.05,</li>
 \*\*P<0.01, \*\*\*P<0.001 when compared to ccRCC.</li>



**Figure 3** - Genomic alterations associated with gene signatures across RCC histologies.

765 Oncoprint of the most commonly altered genes, with heatmap indicating the difference in

- 766 gene signature score differences between biomarker-positive (i.e. mutated) and -negative
- tumors, in clear cell (A), chromophobe (B), collecting duct (C), papillary (D), and mixed
- tumors (E). Note: Genes with < 2 altered samples were excluded. \*P<0.05.





Figure 4 - Association of gene scores with unique tumor microenvironments. (A) Heatmap of
 immunotherapy (IO)-related biomarkers, relative abundance of immune and stromal cell
 population estimated from RNA expression, and expression of key immune checkpoint
 genes across all RCC samples, with adjacent heatmap indicating the Spearman correlation
 strength with gene scores. (B) Radial plot of the median relative abundance of cell types by
 RCC subtype. (C) Prevalence of IO-related biomarkers by RCC subtype. \*P<0.05, \*\*P<0.01</li>
 when compared to ccRCC.





### **Figure 5** - Sarcomatoid/rhabdoid features are associated with distinct expression profiles.

820 Radial plot of the median gene signature expression level by subgroups.



#### Supplemental Figure S1 - Radial plots of the median gene signature expression level by patient demographics.



Supplemental Figure S2 - Heatmap of gene signature score differences between biomarker positive (i.e. mutated) and -negative tumors. Note: Genes with < 2 altered samples were</li>
 excluded. Mann-Whitney U test: \*P<0.05.</li>



- 914 **Supplemental Figure S3** Radial plots of the median gene signature expression level for
- 915 each RCC subtype, including clear cell (A), chromophobe (B), collecting duct (C), medullary
- 916 (D), MiT family translocation (E), mixed (F), and papillary (G). Black dotted line represents
- 917 the overall study cohort median expression level.

