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Review Series

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Predicting response to epigenetic therapy

Marianne B. Treppendahl, Lasse S. Kristensen, and Kirsten Grønbaek

Department of Hematology, Rigshospitalet, Copenhagen, Denmark.

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Introduction

Traditionally, cancer patients have been offered the type of chemotherapy that has shown efficacy in the largest proportion of individuals suffering from that particular type of cancer. However, given the rapidly increasing therapeutic options, we are beginning to envision a paradigm shift in cancer treatment. Today, an increasing number of cancer patients are tested for one or more biomarkers to determine the optimal treatment strategies for the individual patient (1). Still, despite successful incorporation of numerous biomarkers in clinical practice, there is a constant pursuit to identify better markers to predict response to existing and upcoming drugs.

Drugs that target the epigenome are promising novel treatment modalities, but not all patients achieve the same benefit from epigenetic therapy and responses are often not evident until after several months of treatment. Identification of good predictive biomarkers for epigenetic therapy would be of great value because patients with minimal chances of response could be spared long-term treatment with an inefficient drug with unpleasant side effects, and could be offered alternative treatment strategies.

This Review focuses on predictors of response to the two classes of epigenetic drugs currently approved by the European Medicines Agency (EMA) and/or the US FDA for cancer treatment: DNA methyltransferase inhibitors (DNMTis) and histone deacetylase inhibitors (HDACis). These drugs may be used individually, in combination with each other, or even in combination with conventional chemotherapy.

What characterizes a good biomarker?

A biomarker is generally defined as a substance that can be measured objectively and is an indicator of either a clinically important aspect of a pathogenic process or of a pharmacologic response to a therapeutic intervention. In cancer, most biomarker assays are based on the detection of aberrantly expressed proteins, mRNAs, microRNAs (miRs), or genetic or epigenetic alterations that are specific to the cancer cells. Irrespective of its nature, a biomarker should have high diagnostic sensitivity and specificity as well as a high positive predictive value (PPV) and negative predictive value (NPV) (Table 1). PPV and NPV are highly dependent on the prevalence of the disease. Therefore, PPV and NPV can only be estimated from cross-sectional studies. Conversely, the diagnostic sensitivity and specificity are intrinsic to the test and may therefore also be derived from case-control studies.

It is important to realize that the performance of a biomarker is only as good as the assay employed for its measurement and will be compromised if the assay does not have a sufficiently high analytical sensitivity and specificity. Also, if the substance is found at low levels in unaffected or non-responding individuals, the biomarker assay should preferably be quantitative to define a cut-off that provides optimal diagnostic sensitivity and specificity.

Apart from diagnostic sensitivity and specificity, it is important that the biomarker can be detected in readily accessible tissues or body fluids in order to save the patients from a potentially harmful invasive procedure. Finally, the biomarker assay should be based on a methodology that is user friendly and cost efficient (2).

When conducting and reporting biomarker studies, it is important to realize that several aspects of study design, selection of biomarker assay, and statistical analyses may affect the overall outcome of the study. Specific guidelines have been developed that may be helpful when designing, conducting, and reporting biomarker studies (3). In particular, it is recommended that predictive biomarker studies generally should be conducted within randomized trials and that assays should be used at a more advanced state of development (4).

Predicting response to DNMTis

Recent multicenter studies demonstrated that DNMTis have significant efficacy in the treatment of hematological malignancies (5–9) and have led to the approval of two DNMTis, azacitidine and decitabine, by the FDA and EMA. However, the FDA and EMA have not approved the drugs for similar indications (Table 2). Still, only about 50% of patients with myelodysplastic syndrome (MDS) or acute myeloid leukemia (AML) achieve a clinical response to treatment with DNMTis (10, 11). The value of DNMTis in patients that obtain stable disease is still unclear; however, a survival benefit can be observed in patients that obtain hematological improvement. Accordingly, conventional complete remission (CR) and complete remission with incomplete blood count recovery (CRI), as measured by standard parameters (bone marrow blast and peripheral blood cell counts), are not necessarily good markers for predicting outcome (12–14).

The varying efficacy of the drugs may relate to different mechanisms of action in individual patients. In vitro studies indicate that DNMTis can reprogram somatic cells by DNA demethylation of aberrantly silenced genes (Figure 1 and ref. 15). However, the exact mechanisms of action of DNMTis in patients are currently unknown; reactivation of epigenetically silenced tumor suppressor genes and genes involved in normal

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Table 1
Biomarker definitions

Term	Definition
Diagnostic sensitivity	The proportion of individuals with confirmed disease who test positive for the particular biomarker
Diagnostic specificity	The proportion of healthy control individuals who test negative
PPV	The proportion of subjects with a positive test result who are correctly diagnosed
NPV	The proportion of subjects with a negative test result who are correctly diagnosed

differentiation has been suggested (16), but the data are contradictory (17). We and others have shown that DNMTis can render the malignant cells immunogenic by induction of cancer testis antigens (18–20), suggesting that immune stimulation may be an important contributor to the clinical effect of these agents. Azacytidine, which is mainly incorporated into RNA (80%–90%), may inhibit ribonucleotide reductase, leading to a reduced deoxyribonucleotide pool and impaired DNA synthesis and repair (ref. 21 and Figure 2). Second-generation DNMTis are designed to improve the pharmacological profile. One of these, SGI-110 is currently in phase II clinical trials for the treatment for MDS and AML (22), ovarian cancer (23), and advanced hepatocellular carcinoma (24), while others are mainly being investigated in preclinical settings (25, 26).

Pharmacologic factors with potential impact on DNMTi resistance

Human nucleoside transporters. Cellular uptake is crucial for the efficacy of azanucleosides. It has been shown in vitro that azacytidine and decitabine use different human nucleoside transporters (hNTs), and that cytotoxicity is dependent on hNT presence (27, 28). These observations suggest that hNTs may be useful biomarkers for the efficacy of DNMTis, but clinical data are still not available.

Cytidine and deoxycytidine kinase. The next crucial step in DNMTi processing is the initial mono-phosphorylation of azacytidine and decitabine by cytidine kinase and deoxycytidine kinase (DCK), respectively. Accordingly, disruption of DCK may confer decitabine resistance, as demonstrated by a DCK point mutation in the HL60 cell line (29). DCK mutations are rare in patients (30), but a borderline significant lower expression of DCK was observed in non-responders (31).

Cytidine deaminase. A recent study reported that the expression level and enzymatic activity of cytidine deaminase (CDA) can influence overall survival in patients treated with DNMTis. CDA inactivates both azacytidine and decitabine by irreversible hydrolytic deamination of cytidine/deoxycytidine to uridine/deoxyuridine, and accordingly, high CDA expression/activity decreases the half-life of the drugs. Males have the highest CDA expression/activity, and among 90 MDS patients treated with DNMTis, female patients had significant better overall survival (32). This finding may suggest that CDA is involved in a gender-specific response, although the observations in this study are indirect. However, conflicting results exist, with another study showing gender-specific differences in overall survival (33), while in others a negative impact of male gender was not observed (6, 8, 34, 35). Given that SGI-110 is designed to overcome the effects of CDA (36), it will be interesting to observe whether male patients do relatively better in the SGI-110 trial.

Combined disruption of DNMTi metabolic enzymes. Few studies of combined disruption of DNMTi metabolic enzymes have been performed, but in one study in 32 patients with MDS, the CDA/DCK ratio was negatively correlated with clinical response to decitabine (31).

Clinical predictors

The French prognostic score for MDS patients. Itzykson et al. evaluated 282 higher-risk MDS patients (International Prognostic Scoring System [IPSS] intermediate-2 [INT-2] and high-risk group; ref. 37) treated with azacytidine, and found that bone marrow blasts >15%, abnormal karyotype, and previous treatment with low-dose cytarabine independently predicted poor response to azacytidine (Table 3). In addition, performance status ≥ 2 , presence of circulating blasts, red blood cell transfusion dependency ≥ 4 units/8 weeks, and intermediate- or high-risk cytogenetics independently predicted poorer overall survival. Based on these factors, Itzykson et al. developed the French prognostic score for overall survival (Table 4 and refs. 12, 13). This prognostic score was validated in 161 higher-risk MDS patients treated in the

Table 2
EMA- and FDA-approved indications for azacytidine and decitabine

Condition	EMA-approved indication	FDA-approved indication
Azacytidine		
MDS	Patients with IPSS INT-2 and high-risk disease	All MDS patients
CMML	Marrow blasts (10%–29%) without myeloproliferative disorder	Marrow blasts (10%–29%) ^A
AML	Blasts (20%–30%) and multi-lineage dysplasia ^B	Blasts (20%–30%) ^C
Decitabine		
MDS	Patients >65 years who are not candidates for standard induction chemotherapy ^B	All MDS patients
CMML		Marrow blasts (10%–29%) ^A
AML		Blasts (20%–30%) ^C

^AFrench-American-British (FAB) classification: CMML (89). ^BWHO classification (90). ^CFAB classification: RAEB-T.

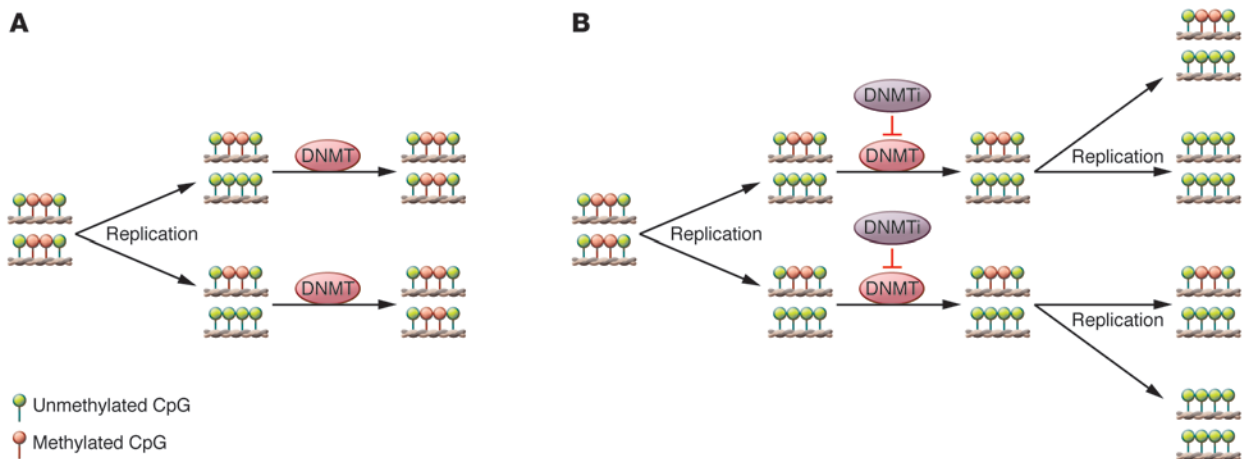


Figure 1 Mechanism of action DNMTis. (A) Under normal circumstances, the DNMTs copy the methylation pattern of the parental DNA strand after replication, ensuring that methylation patterns are maintained during cell division. (B) During treatment, DNMTis are incorporated into DNA and RNA, where they covalently bind and thus inactivate DNMTs. After successive cell divisions, the original DNA methylation pattern is lost.

AZA001 trial (6), who represented an independent but highly selected patient cohort. The prognostic score has recently been further validated in two independent patient cohorts of 60 (38) and 90 (39) patients, respectively. In addition, this score identified patients who obtained CR; all CRs were observed in the low- or intermediate-risk group (38).

Clinical predictors in patients with CMML. The impact of different clinical factors was evaluated in 76 patients with chronic myelomonocytic leukemia (CMML) treated with azacytidine (8). No predictive factors for clinical response were identified, while increased bone marrow blasts, splenomegaly, and high white blood cell counts were associated with significantly shorter survival. However, by multivariate analysis only bone marrow blast count and splenomegaly retained impact on overall survival.

Platelet doubling time. In a cohort of 90 patients with MDS, CMML, and AML treated with azacytidine, an increase in platelet counts of at least two-fold at the initiation of the second treatment cycle, as compared with the pretreatment values, was associated with significantly better overall survival (39).

Cytogenetic and molecular predictors

Cytogenetic abnormalities. Poor-risk cytogenetics in MDS and AML has been associated with shorter response duration and shorter overall survival (refs. 12, 38, 39, and Table 5). However, among patients with poor-risk cytogenetics, better clinical response rates and a relatively favorable outcome in patients with deletions or loss of chromosome 7 were observed (6, 7, 12, 35, 40–42). The explanation for this is currently unclear; interestingly, however, chromosome 7 harbors *EZH2*, which encodes the catalytic component of the polycomb repressive complex 2 histone methyltransferase complex. One study showed that *EZH2* may directly recruit DNMTs to promoters (43), which theoretically may lead to global hypomethylation. However, a direct interaction between *EZH2* and DNMT has not been consistently substantiated, and at this point no association has been shown between *EZH2* mutational status and outcome of azacytidine treatment.

Point mutations. Mutations in epigenetic regulators are identified in most cancers, and mutations in enzymes that are involved in the regulation of DNA methylation are particularly frequent in hematological malignancies. It seems logical that mutations in these enzymes would influence the response to DNMTis and thus

Table 3
Clinical markers for response to DNMTi

Predictor	Patients types included	Treatment	Number of patients	Predict overall survival	Predict therapy response	Reference
French prognostic score	MDS (INT-2, high risk)	Azacytidine	282; 161	C	C	12, 13
	MDS (INT-2, high risk), CMML	Azacytidine	60	C	C	38
	MDS (INT-1, INT-2, high risk), CMML, AML	Azacytidine	90	C	NE	39
Splenomegaly; bone marrow blast count	CMML	Azacytidine	76	C	—	8
Platelet doubling time	MDS (INT-1, INT-2, high risk), CMML, AML	Azacytidine	90	C	NE	39

Dash indicates no correlation; C, correlation; NE, correlation not examined.

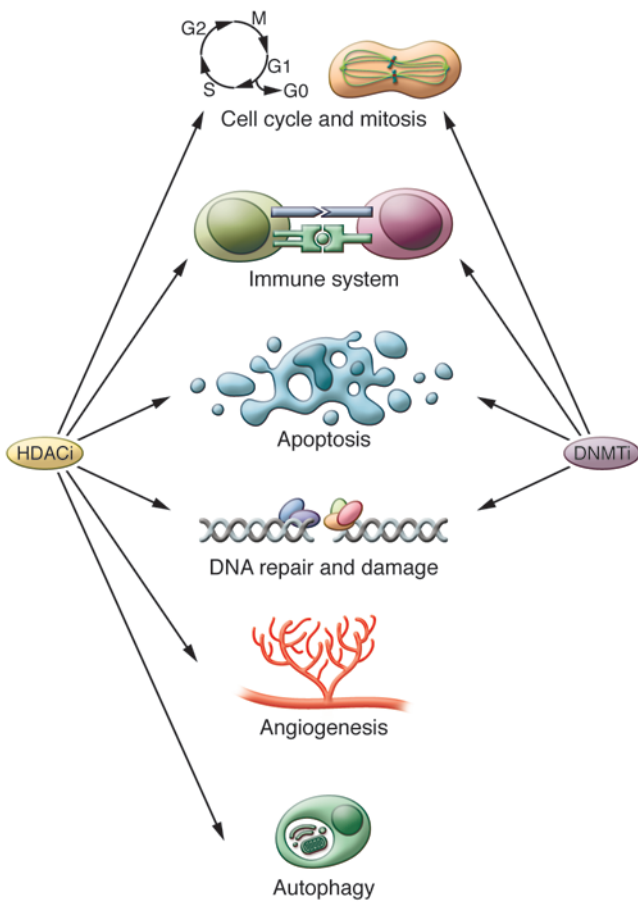


Figure 2 Cellular pathways affected by DNMTis and HDACis. DNA methylation and acetylation of histone and proteins play important roles in multiple cellular pathways, which may be affected by DNMTis and HDACis. Accordingly, the inhibition of HDACs or DNMTs can lead to miscellaneous responses, each of which may require a different biomarker.

be of prognostic importance, but the results are contradictory. Itzykson et al. observed a correlation between clinical response and mutations in the DNA dioxygenase *TET2* in 86 patients with MDS and AML treated with azacytidine (44). Significantly better response rates, but no difference in overall survival, were observed among patients with *TET2* mutations. Meanwhile, correlation between *TET2* mutational status and clinical response or overall survival was not observed in 38 patients with higher-risk MDS treated with azacytidine and valproic acid (45), or in 39 patients with CMML treated with decitabine (46), respectively.

A positive correlation between mutations in the DNA methyltransferase *DNMT3A* and clinical response was observed in 46 patients with AML treated with decitabine; this response, however, did not translate into an overall survival benefit (47).

In a recent study, the impact of several point mutations on the response to treatment was examined in 92 MDS, MDS/MPN, and secondary AML (sAML) patients treated with either azacytidine, azacytidine plus lenalidomide, decitabine, or decitabine plus azacytidine (48). *TET2* and/or *DNMT3A* mutations were associated with a better overall response rate and progression-free survival, but not overall survival. Mutations of the putative polycomb associ-

ated protein *ASXL1* were correlated with poor overall survival, while mutations of the splice factor 3B (*SF3B1*) were associated with better overall survival. However, these data need confirmation because this patient cohort was heterogeneous with regard to both diagnosis and choice of treatment modalities, and only about 50% of the examined samples were collected before the initiation of DNMTi treatment.

DNA methylation

Several groups investigated whether responses to DNMTis are predicted by pretreatment methylation levels at individual gene promoters, at combinations of genes, or by global screening.

CDKN2B. The relationship between clinical response to DNMTi and methylation status of the tumor suppressor gene *CDKN2B*, which encodes the cell cycle inhibitor p15, has been examined in several studies in patients with MDS (17, 35, 49–52). Some reported a positive correlation between low-level pre-treatment *CDKN2B* methylation and clinical response, while others observed a correlation between *CDKN2B* demethylation/expression during decitabine treatment and clinical response (16, 49, 52). Yet other groups did not detect any correlation at all (17, 34, 53). The varying results are likely due to variation in patient groups, combinations of epigenetic therapies, and methodologies for monitoring DNA methylation; in particular, not all groups performed quantitative analyses.

BCL2L10. Methylation of the anti-apoptotic Bcl-2 family member *BCL2L10* has been negatively correlated to response to azacytidine and associated with a significantly poorer overall survival in patients with more than 50% *BCL2L10* methylation. These results were based on an initial analysis of 38 – and validation in 27 – azacytidine-treated patients with higher-risk MDS (45). By contrast, others showed that patients with azacytidine-resistant MDS/AML have an increased fraction of *BCL2L10*-positive cells in the bone marrow, and that patients with low *BCL2L10* expression had significantly better overall survival (54).

Multiple genes. In 317 patients with MDS, a methylation signature consisting of 10 hypermethylated genes (*CDH1*, *CDH13*, *ERα*, *NOR*, *NPM2*, *OLIG2*, *CDNK2B*, *PGRA*, *PDZ*, and *RIL*) was identified among 24 genes previously shown to be methylated in MDS/AML (including several known tumor suppressors). The pretreatment methylation level of these genes was not correlated with clinical response to decitabine, but reduction of methylation after more than four months of treatment (across all 10 genes) was positively correlated to clinical response in a cohort of 34 patients (34).

Promoter methylation of four genes (*APC*, *RASSF1A*, *CDH13*, and *CDKN2A*) has been shown to correlate negatively to survival in non-small-cell lung cancer (NSCLC). Analysis of meth-

Table 4 French prognostic score

Parameter	Score		
	0	1	2
Performance status	<2	≥2	—
Presence of circulating blasts	No	Yes	—
RBC TD ^a ≥ 4 units/8 weeks	<4	≥4	—
Cytogenetic risk group	Low	Intermediate	High

^aRBC TD, red blood cell transfusion dependency. In low-risk groups (score 0), median survival is 32.1 months. In intermediate-risk groups (score 1–3), median survival is 15.0 months. In high-risk groups (score 4–5), median survival is 6.1 months.



Table 5
Molecular markers for response to DNMTi

Predictor	Patients types included	Treatment	Number of patients	Predict overall survival	Predict therapy response	Reference
CDA	MDS (not otherwise specified)	Azacytidine or decitabine	90	C	NE	32
CDA/DCK ratio	MDS (all IPSS groups)	Decitabine	32	NE	C	31
Poor-risk cytogenetics	MDS (INT-2, high risk), CMML	Azacytidine	60	C	C	38
	MDS (INT-1, INT-2, high risk), CMML, AML	Azacytidine	90	C	NE	39
	MDS (INT-2, high risk)	Azacytidine	282; 161	C	C	12, 13
Isolated chromosome 7 abnormalities	MDS (INT-2, high risk), CMML, AML < 30% blasts	Azacytidine	358	C	NE	6
	MDS (all IPSS groups), AML < 30% blasts	Azacytidine	34	NE	C	35
	MDS (INT-2, high risk), CMML, AML < 30% blasts	Decitabine	124	NE	C	40
	MDS (INT-1, INT-2, high risk), CMML, AML < 30% blasts	Decitabine	170	NE	C	7
	AML	Decitabine	23	NE	C	42
TET2 mutation	MDS (INT-1, INT-2, high risk), AML	Azacytidine	86	—	C	44
	MDS (INT-2, high risk), CMML	Azacytidine	38; 27	—	—	45
	CMML	Decitabine	39	—	—	46
	MDS (all IPSS groups), MDS/MPN, sAML	Azacytidine, azacytidine plus lenalidomide, decitabine or azacytidine plus decitabine	92	—	C	48
DNMT3A mutation	AML	Decitabine	46	—	C	47
	MDS (all IPSS groups), MDS/MPN, sAML	Azacytidine, azacytidine plus lenalidomide, decitabine or azacytidine plus decitabine	92	—	C	48
ASXL1 mutation	MDS (all IPSS groups), MDS/MPN, sAML	Azacytidine, azacytidine plus lenalidomide, decitabine or azacytidine plus decitabine	92	C	—	48
SF3B1 mutation	MDS (all IPSS groups), MDS/MPN, sAML	Azacytidine, azacytidine plus lenalidomide, decitabine or azacytidine plus decitabine	92	C	—	48
CDKN2B methylation	MDS (all IPSS groups), AML < 30% blasts	Azacytidine	34	NE	C	35
	MDS (INT-2, high risk), CMML, AML	Azacytidine plus entinostat	30	NE	—	17
	MDS (INT-1, INT-2, high risk), CMML, AML	Decitabine	23	NE	C	49
	AML, CML	Decitabine	41	NE	C	51
	MDS, AML	Decitabine plus valproic acid	54	NE	C	50
	MDS, CMML	Decitabine	95	NE	—	52
	AML, MDS, CML, ALL	Decitabine	50	NE	—	53
BCL2L10 methylation/expression	MDS (INT-2, high risk), CMML	Azacytidine	38; 27	C	C	45
	MDS (INT-2, high risk), AML < 30% blasts	Azacytidine	77	C	C	54
10-Gene methylation signature	MDS (all IPSS), CMML	Decitabine	34	—	C	34
4-Gene methylation signature	NSCLC	Azacytidine plus entinostat	26	NE	C	55
≥ 2 hypermethylated TSG	MDS (all IPSS), AML	Azacytidine	63	C	—	56
Global methylation	AML	Decitabine	16	NE	C	57
CJUN; CMYB	CMML	Decitabine	36	C	C	46
Fas	MDS (all IPSS groups), AML	Azacytidine	38	—	C	61
PI-PLCβ1	MDS (INT-2, high risk), AML	Azacytidine	18	NE	C	63
	MDS (INT-1, low risk)	Azacytidine	26	NE	C	65
	MDS (INT-1, low risk)	Azacytidine	32	NE	C	64
miR-29b	AML	Decitabine	23	NE	C	42
	AML	Azacytidine, valproic acid plus ATRA	45	—	—	68

ATRA, all-trans retinoic acid; MPN, myeloproliferative neoplasms.



ylation status of these genes in plasma samples from 26 patients with NSCLC before treatment with azacytidine and entinostat (HDACi), showed higher clinical response rates in patients with methylation of two or more genes (55).

Among 63 patients with MDS and AML treated with azacytidine, those with methylation of at least two genes from a panel of 24 tumor suppressor genes had a shorter overall survival (56). However, the number of methylated genes did not correlate with the treatment response to azacytidine.

Global methylation. Another approach has been to examine the methylation status of repetitive elements during treatment, which is independent of the presence of tumor cells after therapy. Several studies have shown significant demethylation of LINE1 and *Alu* elements during treatment by both azacytidine and decitabine (17, 51, 52). However, prognostic effect of neither pretreatment methylation levels nor methylation changes during treatment has been documented.

A recent study analyzed the global DNA methylation level using MethylCap-seq in 16 patients with AML treated with decitabine. A trend toward a higher baseline methylation level and more pronounced methylation decrease during treatment was observed among responding patients (57).

Gene expression

***DNMT3B* amplification.** Overexpression of *DNMT3B* mRNA and protein due to gene amplification is frequently observed in human cancers (58). Interestingly, cell lines harboring the *DNMT3B* amplification were less sensitive to azacytidine, decitabine, and SGI-110, but clinical data are still not available.

***CJUN* and *CMYB*.** The gene expression levels of *CJUN* and *CMYB* have been identified as potential biomarkers in a cohort of 36 decitabine-treated patients with CMML (46). *cJUN* has previously been shown to promote aberrant monocyte transformation (59). *CJUN* expression was significantly lower in monocytes from responding patients, and higher *CJUN* expression was correlated to shorter survival (46). Deregulation of *CMYB* has been implicated in leukemia (60), and higher *CMYB* expression was also associated with shorter survival (46).

***Fas* expression.** Expression of the pro-apoptotic protein Fas in CD45^{lo}/CD34⁺ bone marrow cells from patients with MDS (all IPSS groups) or sAML has been positively correlated with response to azacytidine. A correlation between promoter methylation and Fas expression was also observed. Among 63 patients, low Fas expression at diagnosis (presumably due to hypermethylation) was correlated to clinical response, while no association between Fas expression and overall survival was observed (61). In 38 patients Fas expression was examined before and after at least 3 cycles of azacytidine, and responding patients (23 of 38) had a significant increase in Fas expression.

Phosphoinositide-phospholipase C β 1. Phosphoinositide-phospholipase C β 1 (PLC β 1) is a key enzyme in lipid-signaling pathways that acts on cell proliferation and differentiation. PLC β 1 is highly expressed in the early stages of hematopoietic differentiation (62), is hypermethylated in higher-risk MDS patients, and may be a specific target for azacytidine (63). Among 18 patients an increase in PLC β 1 expression and a decrease in PLC β 1 methylation were observed in 9 of 10 patients with hematological response. The same group observed a similar association in two cohorts of 32 and 26 patients with low-risk MDS treated with azacytidine (64, 65). In the latter cohort, the PLC β 1 target cyclin

D3 was induced in responding patients, supporting the notion that the PLC β 1 pathway is activated during azacytidine treatment (65). Due to the involvement of PLC β 1 in early hematopoietic differentiation, it is hypothesized that PLC β 1 upregulation by demethylation leads to differentiation.

miR-29b. miR-29b is involved in the regulation of DNA methylation by targeting the DNA methyltransferases DNMT3A/3B and DNMT1 (41, 66). In a phase II clinical trial in older AML patients treated with decitabine, a positive correlation between the clinical response and high pre-treatment levels of miR-29b was observed (42). In vitro studies from the same group have recently shown that priming of AML cell lines and primary AML blasts with a new HDACi (AR-42) leads to upregulation of miR-29b expression and enhanced anti-leukemic effect of subsequently administered decitabine (67). Yang et al. (68) reported, however, a lack of association between pretreatment miR-29b expression levels and clinical responses to azacytidine in patients with AML. The results obtained by these studies may be explained by the different sources used for miR analysis (peripheral blood vs. bone marrow) and the use of decitabine (42), which may more efficiently downregulate DNMTs.

Predicting response to HDACis

HDACis have considerable antiproliferative and apoptotic activities, making them potential anticancer agents. The HDAC family contains 18 enzymes, grouped into 4 classes that regulate the acetylation level of histones, and several non-histone substrates, including a variety of proteins involved in, for example, cell cycle control, apoptosis, and angiogenesis (69). However, it is still not clear by which key pathways HDACis modify tumor growth in patients (Figure 2). Like the DNMTs, the most promising results are observed in hematological malignancies, with only limited effects in solid tumors. Currently, two HDACis, vorinostat and romidepsin, are FDA approved for treatment of refractory cutaneous T cell lymphoma (CTCL) in patients who have received at least two prior regimens. Romidepsin is also approved for peripheral T cell lymphoma.

Molecular predictors

Acetylation. At this point, only molecular predictors have been identified as biomarkers for HDACi therapy (Table 6). Thus far, the most extensively studied biomarker for HDACi activity is acetylation levels of the target proteins before and after treatment in peripheral blood or tumor tissue, but no correlation to clinical response has been found (70–74). Indeed, hyperacetylation was generally observed in all patients irrespective of response to HDACi (72–74).

Gene expression signature. Gene expression profiling of HDACi-treated cell lines indicated that HDACis are only involved in the regulation of 2%–5% of all human genes (75). In 10 patients mRNA expression in CTCL biopsies taken 4, 8, and 24 hours after administration of the pan-HDACi panobinostat showed altered expression (mainly downregulation) in less than 10% of all genes at the four-hour time point, at which peak changes were observed (73). No correlation was observed between gene expression and response, which could obviously be due to the low number of patients. Similar studies have been done in vitro, leading to identification of a nine-gene signature predictive for response in lung cancer cell lines (70), but this signature has not been validated in vivo.

Several studies have demonstrated that many HDACis increase



Table 6
Molecular markers for response to HDACis

Predictor	Patients types included	Treatment	Number of patients	Predict overall survival	Predict therapy response	Reference
Histone acetylation	Head and neck cancer	Romidepsin	14	NE	—	72
	CTCL	Panobinostat	10	NE	—	73
	AML, ALL, CLL, CML, MDS	Vorinostat	41	NE	—	74
Gene expression signature	CTCL	Panobinostat	10	NE	—	73
P21 induction	Head and neck cancer	Romidepsin	14	NE	—	72
	Glioblastoma	Vorinostat	66	NE	—	78
HDAC2 expression level	Solid tumors	Vorinostat and doxorubicin	32	NE	NE	81
	Solid tumors	Valproic acid and epirubicin	44	NE	NE	82
HR23B	CTCL	Vorinostat	65	NE	C	84
STAT signaling	CTCL	Vorinostat	48	NE	C	86
Oxidative stress	AML	Vorinostat	21	NE	C	74

the level of the cell cycle inhibitor p21 both in vitro (76, 77) and in vivo (72, 78), but no correlation between p21 induction and clinical response has been observed. Interestingly, p21 is upregulated independent of p53, and stratification according to disruption of p21 regulatory pathways (e.g., p53 mutation) may identify patients that benefit from HDACi. Due to the variable functions of the HDACs in multiple pathways, gene signatures are likely to vary with the tumor type, the HDACi being applied, and the concentration of the HDACi.

HDAC expression level. The expression levels of the HDACs themselves have been suggested as a predictive biomarker. Most studies have quantified HDAC expression by immunohistochemistry (IHC), and many HDACs are overexpressed in human cancers (70, 79). Marquard et al. (80) examined the expression levels of HDAC1, -2, and -6 and acetylated histone H4 in 73 CTCL biopsies. Overexpression of HDAC2 and histone H4 acetylation were correlated with more aggressive forms of CTCL. In two clinical trials, a correlation was observed between pretreatment HDAC2 expression and histone acetylation in the tumor tissue (81), and it was suggested that HDAC2 expression potentially can identify patients who will benefit from HDACi treatment (81, 82).

HR23B. A genome-wide loss-of-function screen indicated that RAD23 homolog B (HR23B) sensitizes tumor cells to HDACis (83). Under normal conditions HDACs inhibit the expression of HR23B. HDACi-mediated HR23B overexpression leads to proteasome overload, aberrant protein degradation, and apoptosis. Accordingly, cells depleted of HR23B are less sensitive to vorinostat-induced apoptosis (84). High HR23B expression by IHC was positively correlated to clinical response (PPV = 71.7%) in a phase II clinical trial with 65 vorinostat-treated CTCL patients (84). Sequential samples from a fraction of these patients showed that HR23B expression remained high throughout the time of response. However, a recent study in malignant pleural mesothelioma cell lines shows that vorinostat induced apoptosis is independent of HR23B (85), indicating that the role of HR23B may be cell type dependent.

STAT signaling. In a functional screen of 40 human B and T cell lymphoma cell lines, high baseline levels of activated STAT1, STAT3, and STAT5 correlated with resistance to vorinostat (86).

STATs are transcription factors that participate in chromatin remodeling and enable transcription of several anti-apoptotic proteins. These factors were evaluated in 48 pretreatment CTCL biopsies from patients enrolled in a vorinostat phase IIb clinical trial, and it was shown that nuclear accumulation of STAT1, and high levels of phosphorylated STAT3, in the malignant T cells correlated with lack of clinical response.

Oxidative stress. Vorinostat resistance has been linked to increased tolerance of oxidative stress (74). The expression levels of 17 genes involved in antioxidation, selected from preclinical studies, were examined in 21 patients with AML treated with vorinostat in a phase I clinical trial (74). Nonresponders had higher baseline expression levels of these 17 genes compared with patients with hematological improvement, partial response, or CR. The same group showed that a decrease in the cellular glutathione levels increased the sensitivity to vorinostat in cell lines and in primary leukemic cells (87).

Conclusion

Ideally, the identification of good predictive biomarkers allows selection of personalized therapy and thereby maximizes the benefit of treatment. However, despite comprehensive knowledge of the biology and function of epigenetic therapy, the search for specific biomarkers for response and survival is not straightforward. Disappointingly, the novel high-throughput epigenetic screening methodologies have not yet been useful for this purpose.

There may be several reasons why the identification of biomarkers for epigenetic therapy has been less successful. First, most studies have been performed in relatively small and miscellaneous patient cohorts, and the findings need confirmation in larger, independent studies. Second, it is likely that a particular biomarker will only be useful for a specific agent, since each individual epigenetic drug has a different pharmacological profile. Among the DNMTis, decitabine is incorporated into DNA, while azacytidine is mainly incorporated into RNA; the in vitro effects of the two agents differ (18, 88), and it is possible that they have different effects in vivo (10). Similarly, some HDACis inhibit all classes of HDACs, while others target only one or two; e.g., vorinostat is a pan-inhibitor, while romidepsin is a class I inhibitor. Thus, it is



likely that each individual drug will require a specific biomarker. Third, epigenetic drugs are being used in combination, which may further complicate the identification of relevant biomarkers. Fourth, each individual patient might respond for different reasons, such as reactivation of tumor suppressor genes, restoration of sensitivity to conventional chemotherapy, induction of immunogenicity, induction of terminal differentiation, or combinations thereof. Finally, great variation in drug sensitivity may exist for each cancer type. The recent next-generation sequencing studies have taught us that each tumor harbors a wealth of mutations, and at this point it is unclear whether some of these will be pertinent biomarkers for the efficacy of epigenetic therapy.

Currently, only the clinical markers have been verified by independent research groups, which is a requirement for implementation in clinical practice. The most promising biomarkers are likely to be measurements of the biological effects during treatment; this, however, may be hampered by the elimination of malignant cells. One solution might be to investigate changes in the constitutive methylation patterns as, for example, LINE1 elements (51), but although significant demethylation is observed during treatment

with azanucleosides, there is no evidence of its prognostic value.

In conclusion, there is a lack of proof of a relation between molecular mechanisms of action and biomarkers. Thus, for the time being, there is still much to uncover before the responses to epigenetic therapy can be consistently predicted, but hopefully many large clinical trials in combination with novel high-throughput screening methods will enable us to identify good biomarkers in the near future.

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Address correspondence to: Kirsten Grønbaek, Department of Hematology, L4042, Rigshospitalet, Blegdamsvej 9, DK-2100 Copenhagen Ø, Denmark. Phone: 45.35458895; Fax: 45.35454295; E-mail: kirsten.groenbaek@regionh.dk.

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